



DOCKET NO.: CRTW-0004

2160

Sector \$
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

MAY 14 2001

Technology Center 2100

In Re Application of:

Shawn D. Cartwright

Serial No.: 09/676,448

Group Art Unit: 2161

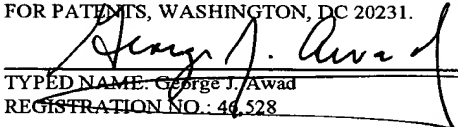
Filing Date: September 29, 2000

Examiner: Not Yet Assigned

For: **SYSTEM AND METHODS FOR OBTAINING ADVANTAGES AND
TRANSACTIONING THE SAME**

DATE OF DEPOSIT: May 1, 2001

I HEREBY CERTIFY THAT THIS PAPER IS BEING
DEPOSITED WITH THE UNITED STATES POSTAL
SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID
ON THE DATE INDICATED ABOVE AND IS
ADDRESSED TO THE ASSISTANT COMMISSIONER
FOR PATENTS, WASHINGTON, DC 20231.


TYPED NAME: George J. Awad
REGISTRATION NO.: 46,528

BOX MISSING PARTS

Assistant Commissioner for Patents
Washington DC 20231

Sir:

TRANSMITTAL LETTER

In response to the Notice of Incomplete Reply dated mailed April 17, 2001, enclosed herewith for filing in the above-identified patent application is:

- ☒ A Response to Notice of Incomplete Reply and copies of all papers filed on January 24, 2001 in Response to Notice to File Missing Parts, including executed Combined Declaration and Power of Attorney, Response to Notice to File Missing Parts, copy of the Notice to File Missing Parts, Associate Power of Attorney, check covering the surcharge in the amount of \$65.00, and postcard with OIPE date stamp of January 26, 2001.
- ☒ A Preliminary Amendment.
- ☐ An Amendment Responsive to the Office Action Dated _____
- ☐ An Amendment Supplemental to the Paper filed _____

- ☒ Applicant(s) has previously claimed small entity status under 37 CFR §1.27.
- ☐ Applicant(s) by its/their undersigned attorney, claims small entity status under 37 CFR §1.27 as:
- ☐ an Independent Inventor
 - ☐ a Small Business Concern
 - ☐ a Nonprofit Organization
- ☐ This application is no longer entitled to small entity status. It is requested that this be noted in the files of the Patent and Trademark Office.
- ☐ Substitute Pages _____ of the Specification are enclosed.
- ☐ An Abstract is enclosed.
- ☐ _____ Sheets of Proposed Corrected Drawings are enclosed.
- ☐ A Certified Copy of each of the following applications: _____
_____ is enclosed.
- ☐ An Associate Power of Attorney is enclosed.
- ☐ Information Disclosure Statement.
- ☐ Attached Form 1449.
 - ☐ A copy of each reference as listed on the attached Form PTO-1449 is enclosed herewith.
- ☐ Appended Material as follows: _____
- ☐ Other Material as follows: _____

FEE CALCULATION

☐ No Additional Fee is Due.

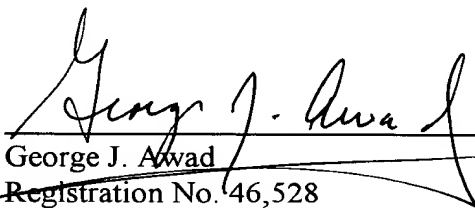
				SMALL ENTITY		NOT SMALL ENTITY	
	REMAINING AFTER AMENDMENT	HIGHEST PAID FOR	EXTRA	RATE	FEE	RATE	FEE
TOTAL CLAIMS	46	43 (20 MINIMUM)	3	\$9 EACH	\$27	\$18 EACH	\$
INDEP. CLAIMS	10	9 (3 MINIMUM)	1	\$40 EACH	\$40	\$80 EACH	\$
FIRST PRESENTATION OF MULTIPLE DEPENDENT				\$135	\$	\$270	\$
<input type="checkbox"/> ONE MONTH EXTENSION OF TIME				\$55	\$	\$110	\$
<input type="checkbox"/> TWO MONTH EXTENSION OF TIME				\$195	\$	\$390	\$
<input type="checkbox"/> THREE MONTH EXTENSION OF TIME				\$445	\$	\$890	\$
<input type="checkbox"/> FOUR MONTH EXTENSION OF TIME				\$690	\$	\$1390	\$
<input type="checkbox"/> FIVE MONTH EXTENSION OF TIME				\$945	\$	\$1890	\$
<input type="checkbox"/> LESS ANY EXTENSION FEE ALREADY PAID				minus	(\$)	minus	(\$)
<input type="checkbox"/> TERMINAL DISCLAIMER				\$55	\$	\$110	\$
<input type="checkbox"/> OTHER FEE OR SURCHARGE AS FOLLOWS:							
TOTAL FEE DUE					\$67.00		\$

- ☒ A Check is Enclosed in the Foregoing Amount Due.
- ☐ Petition is hereby made under 37 C.F.R. 1.136(a) to extend the time for response to the Office Action of @@ to and through @@ comprising an extension of the shortened statutory period of @@ month(s).
- ☒ The Commissioner is hereby requested to grant an extension of time for the appropriate length of time, should one be necessary, in connection with this filing or any future filing submitted to the U.S. Patent and Trademark Office in the above-identified application during the pendency of this application. The Commissioner is further authorized to charge any fees related to any such extension of time to deposit account 23-3050. This sheet is provided in duplicate.

- ☒ The Commissioner is authorized to charge payment of the following fees and to refund any overpayment associated with this communication or during the pendency of this application to deposit account 23-3050. This sheet is provided in duplicate.
- ☐ The Foregoing Amount Due for Filing this Paper.
- ☒ Any additional filing fees required, including fees for the presentation of extra claims under 37 C.F.R. 1.16.
- ☒ Any additional patent application processing fees under 37 C.F.R. 1.17 or 1.20(d).

SHOULD ANY DEFICIENCIES APPEAR with respect to this application, including deficiencies in payment of fees, missing parts of the application or otherwise, the United States Patent and Trademark Office is respectfully requested to promptly notify the undersigned.

Date: May 1, 2001


George J. Awad
Registration No. 46,528

Woodcock Washburn Kurtz
Mackiewicz & Norris LLP
One Liberty Place - 46th Floor
Philadelphia PA 19103
Telephone: (215) 568-3100
Facsimile: (215) 568-3439



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/676,448	09/29/2000	Shawn D. Cartwright	CRTW-0004

CONFIRMATION NO. 3485

FORMALITIES LETTER



OC000000005976094

George J. Awad
WOODCOCK WASHBURN KURTZ
MACKIEWICZ & NORRIS LLP
One Liberty Place - 46th Floor
Philadelphia, PA 19103

Date Mailed: 04/17/2001

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 12/19/2000 to the Notice to File Missing Parts (Notice) mailed 11/24/2000 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- The oath or declaration is unsigned.

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MAY 14 2001

A copy of this notice MUST be returned with the reply.

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Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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DOCKET NO.: CRTW-0004

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Shawn D. Cartwright

Serial No.: 09/676,448

Group Art Unit: 2161

Filed: September 29, 2000

Examiner: Not Yet Assigned

For: **SYSTEM AND METHODS FOR OBTAINING ADVANTAGES AND
TRANSACTIONING THE SAME**

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MAY 14 2001
Technology Center 2100

I, George J. Awad, Registration No. 46,528 certify that this correspondence is being deposited with the U.S. Postal Service as First Class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

On May 1, 2001

George J. Awad
Registration No. 46,528

BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

RESPONSE TO NOTICE OF INCOMPLETE REPLY

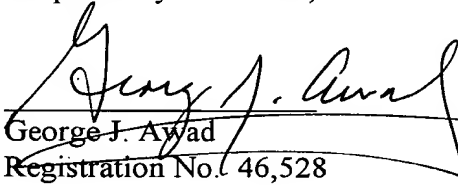
In response to the Notice of Incomplete Reply (copy enclosed) mailed April 17, 2001, enclosed please find the executed Combined Declaration and Power of Attorney document which was originally filed in the U.S. Patent and Trademark Office on January 24, 2001, under the first class mailing certification process. Also enclosed is a copy of the postcard containing a date stamped receipt by OIPE on January 26, 2001, evidencing receipt of the executed Combined Declaration and Power of Attorney, as well as the Response to Notice to File Missing Parts, copy of the Notice to File Missing Parts, Associate Power of Attorney, and check covering the surcharge in the amount of \$65.00 (copies also enclosed).

DOCKET NO.: CRTW-0004

PATENT

Since the Response to the Notice to File Missing Parts was timely filed on January 24, 2001, it is believed that no extension of time or additional fee is needed at this time. However, the Commissioner is hereby authorized to charge any additional fees to Deposit Account No. 23-3050. The Commissioner is also requested to grant an extension of time if one is deemed necessary to provide for the timely filing of this reply.

Respectfully submitted,


George J. Awad
Registration No. 46,528

Date: May 1, 2001

WOODCOCK WASHBURN KURTZ
MACKIEWICZ & NORRIS LLP
One Liberty Place - 46th Floor
Philadelphia, PA 19103
(215) 568-3100



**RECEIVED BY THE UNITED STATES
PATENT AND TRADEMARK OFFICE**

Paper: Response to Notice to File Missing Parts of Application w/Certificate of First Class Mailing (in duplicate); Notice to File Missing Parts of Application - Filing Date Granted dated November 24, 2000; **Executed** Declaration and Power of Attorney; Associate Power of Attorney; Check for \$65.00; Return Postcard

Applicant(s): Shawn D. Cartwright
Title: SYSTEM AND METHODS FOR OBTAINING ADVANTAGES AND TRANSACTING THE SAME
Serial No.: 09/676,448
Filed: September 29, 2000
Docket No.: CRTW-0004
Date Sent: January 24, 2001

MDS/GJA/Hope Graves

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MAY 14 2001

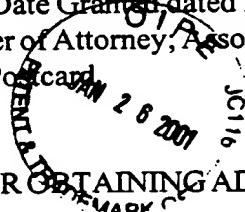
Technology Center 2100

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PATENT AND TRADEMARK OFFICE**

Paper: Response to Notice to File Missing Parts of Application w/Certificate of First Class Mailing (in duplicate); Notice to File Missing Parts of Application - Filing Date Granted dated November 24, 2000; **Executed** Declaration and Power of Attorney; Associate Power of Attorney; Check for \$65.00; Return Postcard

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MDS/GJA/Hope Graves





DOCKET NO.: CRTW-0004

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Shawn D.
Cartwright

Serial No.: 09/676,448

Group Art Unit: 2161

Filing Date: September 29, 2000

Examiner: Not Yet Assigned

For: SYSTEM AND METHODS FOR OBTAINING ADVANTAGES AND
TRANSACTIONING THE SAME

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MAY 14 2001
Technology Center 2100

I, George J. Awad, Registration No. 46,528 certify that this correspondence is being deposited with the U.S. Postal Service as First Class mail in an envelope addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231.

On January 24, 2001
George J. Awad
George J. Awad, Reg. No. 46,528

BOX MISSING PARTS

Assistant Commissioner for Patents
Washington DC 20231

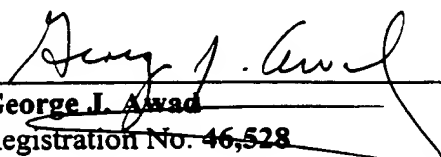
RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the "Notice to File Missing Parts of Application--Filing Date
Granted" dated November 24, 2000, a response to which is due January 24, 2001, enclosed
herewith for filing is:

- ☒ The original Combined Declaration and Power of Attorney, executed by the inventor(s). An unexecuted copy of this document, attached to the above-identified specification, was filed by Express Mail No. EL531 173 605US on September 29, 2000.
- ☒ An Associate Power of Attorney is also enclosed.
- ☐ Request for Corrected Filing Receipt.
- ☒ Applicant(s) has previously claimed small entity status under 37 CFR §1.27.

- ☐ Applicant(s) by its/their undersigned attorney, claims small entity status under 37 CFR §1.27 as:
- ☐ an Independent Inventor
 - ☐ a Small Business Concern
 - ☐ a Nonprofit Organization
- ☒ A check in the amount of \$65.00 is attached to cover the surcharge. Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.
- ☐ Please charge the surcharge to my Deposit Account No. 23-3050 in the amount of \$@@@.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-3050. This sheet is attached in duplicate.
- ☒ Any patent application processing fees under 37 CFR 1.17 and under 37 CFR 1.20(d).
 - ☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
 - ☒ Any filing fees under 37 CFR 1.16 including fees for presentation of extra claims.

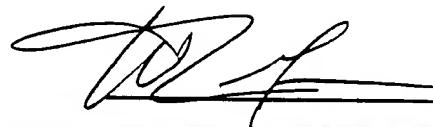
Date: January 24, 2001



George J. Awad
Registration No. 46,528

WOODCOCK WASHBURN KURTZ
MACKIEWICZ & NORRIS LLP
One Liberty Place - 46th Floor
Philadelphia PA 19103
Telephone: (215) 568-3100
Facsimile: (215) 568-3439

100390
CHECK NO.



THE REVERSE SIDE OF THIS DOCUMENT INCLUDES AN ARTIFICIAL WATERMARK - HOLD AT AN ANGLE TO VIEW

TOTAL CHECK	65.00
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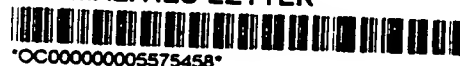
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/676,448	09/29/2000	Shawn D. Cartwright	CRTW-0004

George J. Awad
WOODCOCK WASHBURN KURTZ
MACKIEWICZ & NORRIS LLP
One Liberty Place - 46th Floor
Philadelphia, PA 19103

FORMALITIES LETTER



OC000000005575458

Date Mailed: 11/24/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

llan
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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MAY 14 2001

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